



COPY

RECEIVED  
NOV 04 2003  
TECH CENTER 1600/2900

9

OSMM&N File No. 203973US0X

Serial No. 09/867.537

Dept.: -C-

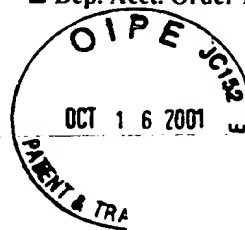
By: NFO:DJP:mms

In the matter of the Application of: Bettina MOECKEL et al.

For: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE LYSR3 GENE

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☐ \_\_\_\_\_ pp. Specification \_\_\_\_\_ Claims/Drawing \_\_\_\_\_ Sheets  
and \_\_\_\_\_ pages Application Data Sheet
- ☐ Combined Declaration, Petition & Power of Attorney (\_\_\_\_\_ pp.,)
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application Transmittal
- ☐ Notice of Priority
- ☐ Check for
- ☐ Fee Transmittal Form
- ☐ Assignment/Form PTO 1595 pages: \_\_\_\_\_
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings \_\_\_\_\_ sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement
- ☒ Cited References (5)
- ☐ Search Report
- ☒ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Declaration under 37 C.F.R. §1.132
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☐ CPA
- ☐ Priority Doc
- ☒ Dep. Acct. Order Form
- ☒ Form PTO-1449
- ☐ Cited Pending Applications
- ☐ Election Response



DUE DATE: 10/16/01

Docket No. 203973US0

OCT 28 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
NOV 04 2003  
TECH CENTER 1600/2900

IN RE APPLICATION OF: Bettina MOECKEL et al.

SERIAL NO: 09/867,537

GAU:

FILED: May 31, 2001

EXAMINER:

FOR: NUCLEOTIDE SEQUENCES WHICH CODE FOR THE LYSR3 GENE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

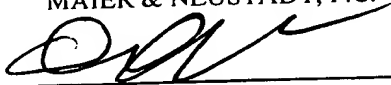
- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon  
Registration No. 24,618

Daniel J. Pereira, Ph.D.  
Registration No. 45,518



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
203973US0XSERIAL NO.  
09/867,537

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Bettina MOECKEL et al.

FILING DATE

May 31, 2001

GROUP

OCT 28 2003

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROVED
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO WO 01/00842	01/04/01	WIPO		
	AP WO 01/00845	01/04/01	WIPO		
	AQ WO 01/00802	01/04/01	WIPO		
	AR WO 01/00847	01/04/01	WIPO		
	AS EP 1108790 A2	06/20/01	EUROPE		
	AT				
	AU				
	AV				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.